

PART B - FEE(S) TRANSMITTAL

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020455 7590 12/14/2005

LATHROP & CLARK LLP
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Kim Buskager (Depositor's name)
 [Signature] (Signature)
 March 7, 2006 (Date)

03/13/2006 BABRAHA2 00000013 10091170

01 FC:1501 1400.00 OP
 02 FC:1504 300.00 OP

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 10/091,170 | 03/04/2002 | Edward T. Maas | HELLWAT-7 | 9952 |

TITLE OF INVENTION: AERATION TANK CONTROL VALVE SYSTEM

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE | PUBLICATION FEE | TOTAL FEE(S) DUE | DATE DUE |
|----------------|--------------|-----------|-----------------|------------------|------------|
| nonprovisional | NO | \$1400 | \$300 | \$1700 | 03/14/2006 |

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|---------------------|----------|----------------|
| PHAM, MINH CHAU THI | 1724 | 210-278000 |

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Lathrop & Clark LLP
 2 _____
 3 _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Hellenbrand, Inc.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Waunakee, Wisconsin

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies _____

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- ☒ A check in the amount of the fee(s) is enclosed.
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☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 15-0660 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature [Signature]
 Typed or printed name Stephen J. Roe

Date March 7, 2006
 Registration No. 34,463

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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PTO/SB/21 (12-97)

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**TRANSMITTAL
FORM**

(To be used for all correspondence after initial filing)

| | | |
|---|------------------------|---------------------|
| TRANSMITTAL FORM (To be used for all correspondence after initial filing) | Application Number | 10/091,170 |
| | Filing Date | 03/04/2002 |
| | First Named Inventor | Edward T. Maas |
| | Group Art Unit | 1724 |
| | Examiner Name | Pham, Minh Chau Thi |
| Total Number of Pages in This Submission | Attorney Docket Number | HELLWAT-7 |

| | | | | | |
|-------------------------------------|---|--------------------------|--|-------------------------------------|---|
| <input type="checkbox"/> | Fee Transmittal Form | <input type="checkbox"/> | Assignment Papers (For an Application) | <input type="checkbox"/> | After Allowance communication to Group |
| <input checked="" type="checkbox"/> | Fee Attached | <input type="checkbox"/> | Drawings | <input type="checkbox"/> | After Allowance Communication To Group |
| <input type="checkbox"/> | Amendment / Response | <input type="checkbox"/> | Licensing related Papers | <input type="checkbox"/> | Appeal Communication to Board Of Appeals and Interferences |
| <input type="checkbox"/> | After Final | <input type="checkbox"/> | Petition Routing Slip (PTO/SB/69) And Accompanying Petition | <input type="checkbox"/> | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> | Affidavits/declarations(s) | <input type="checkbox"/> | To Convert a Provisional Application | <input type="checkbox"/> | Proprietary Information |
| <input type="checkbox"/> | Extension of Time Request | <input type="checkbox"/> | Power of Attorney; Revocation Change of Correspondence Address | <input type="checkbox"/> | Status Letter |
| <input type="checkbox"/> | Express Abandonment Request | <input type="checkbox"/> | Terminal Disclaimer | <input checked="" type="checkbox"/> | Additional Enclosures |
| <input type="checkbox"/> | Information Disclosure Statement | <input type="checkbox"/> | Small Entity Statement | | (Please identify below): |
| <input type="checkbox"/> | Certified Copy of Priority Documents | <input type="checkbox"/> | Request for Refund | | Part B - Fee(s) Transmittal-PTOL-85 |
| <input type="checkbox"/> | Response to Missing Parts/Incomplete Application | Remarks | | | |
| <input type="checkbox"/> | Response to Missing Parts Under 37 CFR 1.52 or 1.53 | | | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|----------------|------------------|
| Firm or Individual name | Stephen J. Roe | Reg. No.: 34,463 |
| Signature | | |
| Date | March 7, 2006 | |

CERTIFICATE OF MAILING

| | | |
|--|----------------|------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date. | | |
| Typed or printed name | Stephen J. Roe | Reg. No.: 34,463 |
| Signature | | March 7, 2006 |
| | | Date |